

Legal & General Fund Tracker Deposit Bond 3

DEPOSIT PLAN APPLICATION FORM

Applications must be received by 9 April 2010.

FOR OFFICE USE ONLY

Receipt number _____	Agency Number _ _ _ _ _ _ _ _ _ _	BC _____	Agent stamp/number
Deal Reference _____	Client Number _____	Launch Cash Fund _____	

NOTES FOR ADVISERS – VERIFICATION OF IDENTITY

The verification of identity declaration can only be used if you have fully verified the customer(s). You cannot use the declaration if the customer falls into one of the following categories:

- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification.
- Those who have been subject to Simplified Due Diligence under Money Laundering Regulations.
- Those whose identity has been verified using the source of funds as evidence.

For verification of third party donors, please use the Confirmation of Verification of Identity certificate on our Adviser Centre.

Before completing this application form, please ensure you have read the Terms and Conditions and the Deposit Plan Key Features carefully. If you do not understand any point please ask for further information. The Terms and Conditions form the basis of our agreement with you.

PLEASE COMPLETE IN BLOCK CAPITALS

SECTION 1A. PERSONAL DETAILS

Title Mr Mrs Ms Miss Other If Other, please tick Male Female

Surname _____ Full forename(s) _____

Permanent residential address _____

Postcode _____

Email address _____ Daytime telephone number _____

If you have lived at this address for less than three months, please provide your previous address details here.

Previous address _____

Postcode _____

Date of birth |_|_|_|_|_|_|_|_|_|_|

Do you have a National Insurance number? Yes No If Yes, please enter it here |_|_|_|_|_|_|_|_|_|_|

Do you have an existing Legal & General account? Yes No If Yes, please enter client number |_|_|_|_|_|_|_|_|_|_|

Optional – please complete if you wish to add a personal reference to your investment

Account holding designation _____

Please tick if applicable:

Legal & General employee Legal & General shareholder Relative of Legal & General employee/shareholder*

*If you have ticked this box, please write the name of the Legal & General employee/shareholder below.

SECTION 1B. JOINT INVESTMENT

Investments can be held in joint names. If you wish to hold an investment with another person please complete their details here.

Title Mr Mrs Ms Miss Other If Other, please tick Male Female

Surname Full forename(s)

Permanent residential address

Postcode

Email address Daytime telephone number

If they have lived at this address for less than three months, please provide their previous address details here.

Previous address

Postcode

Date of birth

Do you have a National Insurance number? Yes No If Yes, please enter it here

All correspondence will be sent to the address given in SECTION 1A.

SECTION 2. BANK/BUILDING SOCIETY ACCOUNT DETAILS – PLEASE COMPLETE THIS SECTION

Please provide the details of your bank/building society account in order that we can make any payments, either on your request or at the payment date as stated in the Terms and Conditions at the end of the fixed term.

Name of bank/building society

Address

Postcode

Name of account holder Sort code

Roll number (if applicable) Account number

SECTION 3. YOUR INVESTMENT

Please select option 1 or 2. Please see the Deposit Plan Key Features for more information.

Option 1 Option 2

I wish to open an account for £ (minimum £500, maximum £50,000) in the Legal & General Fund Tracker Deposit Bond 3.

SECTION 4. PAYING FOR YOUR INVESTMENT

Your investment can be paid either by cheque or by debit card.

How do you want to pay for your investment?

By cheque Please make your cheque for the total amount payable to Legal & General. Cheques should be drawn on your personal account. For banker's drafts/building society cheques, please ask the bank/building society to print your name as the account holder. Please remember to attach your cheque to this application.

By debit card Please give details in full below. You should only complete these details if sufficient cleared funds are in your account to cover the investment amount, otherwise your application will be rejected. Please note that we cannot accept payment by Solo or Electron. We will not be able to process your debit card payment if the address held by your bank/building society is different to that given in section 1.

Visa Debit Maestro Issue number (if applicable)

Card number (16, 18 or 19 digits)

Start date (if applicable) Expiry date

Name as it appears on your card

Sort code Account number

SECTION 5. DECLARATION

I declare that:

- I am 18 years of age or over.
- I am not a US resident.
- the information given in this declaration is true and correct to the best of my knowledge and belief and I will inform Legal & General without delay of any change to my circumstances affecting any information in this form.

I authorise Legal & General:

- to hold my cash subscription, direct investments, interest and any other rights or proceeds in respect of those investments and any other cash.

IMPORTANT, PLEASE READ: Data Protection

Use of your information

Legal & General takes your privacy very seriously. We use the personal information collected via this form and any other information that you provide to us ('your information') for the purposes of:

1. providing you with our products and services and dealing with your enquiries and requests,
2. administering your investment,
3. carrying out market research, statistical analysis and customer profiling, and
4. sending you marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in 4 above, unless you tell us otherwise by ticking this box .

Given the global nature of our business, we may need to transfer your information to countries outside the European Economic Area in order to provide our services to you.

Disclosures:

We will disclose your information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of our business and suppliers we engage to process data on our behalf. If you have been dealing with a financial adviser, we will give them information about your product and, where appropriate, provide them with other information about your dealings with us to enable them to give you informed advice.

Where you have been introduced to us by a bank or a building society, we will share your information with them to enable them to:

- (a) carry out market research, statistical analysis and customer profiling, and
- (b) send you marketing information about their products and services and products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in (b) below by post or telephone, unless you tell us otherwise by writing to Business Standards, Legal & General Retail Investments, Customer Services, PO Box 1004, Cardiff CF24 0YS.

Access:

You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, please write to us at the above address.

I agree to the use of my information as set out above.

I understand that a copy of the completed application is available on request.

I have read the Terms and Conditions, the Legal & General Fund Tracker Deposit Bond 3 Deposit Plan Key Features and the declaration carefully. I agree that the Terms and Conditions form the basis of my agreement with Legal & General.

PLEASE SIGN AND DATE BELOW

First Applicant

Signature

Date

Second Applicant (if applicable)

Signature

Date

In the case of a joint application, all applicants must sign.

All applicants must be at least 18 years of age.

Please send to your financial adviser or to:

Legal & General Retail Investments
Customer Services
PO Box 1004
Cardiff CF24 0YS

FOR ADVISER USE ONLY – VERIFICATION OF IDENTITY

(this is not applicable to Legal & General appointed representatives or employees)

I confirm the personal details listed in Section 1 were obtained by me. I have seen evidence to verify the identity of all applicants that meets or exceeds the standards set out within JMLSG guidance. Details of any evidence exceeding the guidance are attached.

Name

Job title

Signature

Date

Legal & General (Portfolio Management Services) Limited.
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Registered office: One Coleman Street, London EC2R 5AA
www.legalandgeneral.com

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